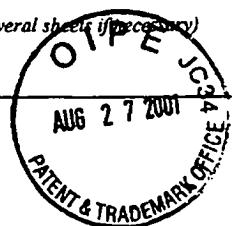


Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 373722002900	Application Number 09/894,049
Applicant	Farnaz PARHAMI et al.
Filing Date June 28, 2001	Group Art Unit To Be Assigned
Mailing Date August 22, 2001	

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
MJS	1.	08/23/1994	5,341,444	Henry et al.			
MJS	2.	11/14/1995	5,467,418	Dragone			
MJS	3.	04/22/1997	5,623,571	Chou et al.			
MJS	4.	04/28/1998	5,745,618	Li			
MJS	5.	05/12/1998	5,751,872	Bissessur et al.			
MJS	6.	06/16/1998	5,768,450	Bhagavatula			
MJS	7.	11/24/1998	5,841,919	Akiba et al.			
MJS	8.	05/04/1999	5,901,259	Ando et al.			
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MJS	10.	08/17/1999	5,940,555	Inaba et al.			
MJS	11.	11/09/1999	5,982,960	Akiba et al.			
MJS	12.	10/24/2001	6,137,939	Henry et al.			
MJS	13.	01/30/2001	6,181,848	Bruno et al.			
MJS	14.	03/20/2001	6,205,273	Chen			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
MJS	15.	Inoue, I. et al., (2000). "Novel birefringence compensating AWG design," <i>Optical Society of America</i> , WB4-1-WB4-3. Paper appears in OFC 2001, volume 3.
MJS	16.	Kilian, A. et al. (2000). "Birefringence Free Planar Optical Waveguide Made by Flame Hydrolysis Deposition (FHD) Through Tailoring of the Overcladding", <i>Journal of Lightwave Technology</i> , Vol. 18 (2):193-198. (February)

EXAMINER: *Michael J. Hall*

DATE CONSIDERED: *9/25/03*

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449			Docket Number 373722002900	Application Number 09/894,049
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(Use several sheets if necessary)			Filing Date June 28, 2001	Group Art Unit To Be Assigned
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MJS	17.	Nadler, C. K. et al (1999). "Polarization Insensitive, Low-Loss, Low-Crosstalk Wavelength Multiplexer Modules", <i>IEEE Journal of Selected Topics in Quantum Electronics</i> 5(5): 1407-1412.
MJS	18.	Okamoto, K. (2000). <i>Fundamentals of Optical Waveguides</i> . pp. 346-381.
MJS	19.	Vreeburg, C. G. M. et al. (1998). "A Low-Loss 16 Channel Polarization Dispersion-Compensated PHASAR Demultiplexer", <i>IEEE Photonics Technology Letters</i> 10(3):382-384. (March)
MJS	20.	Yamada, H. et al. (2000). "Dispersion Resulting From Phase and Amplitude Errors in Arrayed-Waveguide Grating Multiplexers-Demultiplexers", <i>Optic Letters</i> 25(8):569-571. (April)

EXAMINER: <i>Michael F. Stahl</i>	DATE CONSIDERED: <i>7/5/03</i>
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